APPENDIX A - Audits Completed to date (24th August 2018)

Services Biological Policy Continues of Columns (Columns) (Columns	Audit Title	Critical	High	Medium	Low Risk -	Total	Achievement	Compliance	Safoguarding of	Effectiveness	Reliability &	Audit Accurance	Cummany
Childrens Residential Units - Director of Children Residential Units - Directo	Audit IITIE		High					Compliance				Audit Assurance	Summary
Children's Residential Units. Discretion of Children's Residential Children's Residential Residential Children's Residential Children's Residential Residential Children's Residential Children's Children's Residential Children's Children's Children's Residential Children's Children'		KISK	KISK	KISK	improvement	Exceptions	organisation's strategic		Assets	of Operations	integrity		
Directors of Children Families & Education Directors of Children Families & Education Directors of Culture & City Development Directors of City Development Directors o	Childrens Residential Units						objectives						The issues raised relate to staff not completing
Registers - Director of Culture & City Development Culture & City Developme	Directors of Childrens	0	5	1	0	6							Financial Rules training and acknowledgement of the Income Handling Policy, non compliance with HMRC
Culture & City Development O I 1 1 1 0 2 2							NAT		NAT	NAT	NAT		policy i.e. evidence of valid driving licence.
Register of Electors Multiple elections - Director of Communication Purchase Gards - Director of Finance & Information Services O		0	1	1	0	2							=
Minicipal elections - Director of Regeneration Outland Beath Care - Director of Application of Continuing Health Care - Director of Application of Countinuing Health Care - Director of Application of Countinuing Health Care - Director of Countinuing - Directo	Decision (Florida)						Assurance	Assurance	Assurance	NAT	NAT	Assurance	
Purchase Cards - Director of Finance & Information Services 0 4 2 0 6 6 NAT Limited Assurance NAT As	Municipal elections - Director of Community &	0	0	0	0	0							No issues were raised.
Finance & Information Services 0 4 2 0 6 Limited Assurance Assura	David Colla Discolaria						NAT	Assurance	NAT	Assurance	NAT	Assurance	
Land charges - Director of HR Legal & Performance 0 1 2 1 4 Assurance NAT Assurance Assurance NAT Assurance Assurance NAT Assurance Assurance NAT NO issues were raised.	Finance & Information	0	4	2	0	6	NAT		NAT				reclaim, fines & penalties, exceeding transaction limit and non-completion of agreed actions from the
Legal & Performance 0 1 2 1 4 Reasonable Reasonable Assurance Reasonable Assurance The issues raised are low level improvements only. Assurance The issues raised are low level improvements only. Assurance Assurance The issues raised are low level improvements only. Assurance Assurance Assurance Assurance Assurance Assurance Assurance Assurance Assurance NAT Assurance The issues raised relates to an out of date Contamin and Strategy. The issues raised relates to an out of date Contamin and Strategy. The issues raised relates to an out of date Contamin and Strategy. The issues raised relates to an out of date Contamin and Strategy. The issues raised relates to an out of date Contamin and Strategy. The issues raised relates to an out of date Contamin and Strategy. The issues raised relates to the lack of a City Twinning - Director of Culture & City Development O 3 O 0 3 Umited Assurance Umited Umited Umited Umited Umited Umited Umited Assurance NAT No issues were raised.	Land charges - Director of HP						INAT	Assurance	INAT	Assurance	Assurance	Assurance	the issue raised relates to the sharing of assess rights to
Blue Badges - Director of Regeneration 0 0 0 2 2 2 NAT Assurance Assurance NAT Assurance Continuing Health Care - Director of Adult Social Care 0 0 0 0 0 0 0 Assurance Assurance Assurance NAT Assurance Contaminated Land - Director of Adult Social Care 0 1 0 0 1 1		0	1	2	1	4	Assurance			Assurance			
Contaminated Land - Director of Adult Social Care 0 0 0 0 0 0 0 0 Assurance Assurance Assurance NAT Assurance Contaminated Land - Director of Culture & City Development 0 1 0 0 1 Umited Assurance NAT Assurance NAT Assurance Limited Limited Assurance NAT Assurance The issue raised relates to an out of date Contamin Land Strategy. The issue raised relates to an out of date Contamin Land Strategy. The issues raised relates to an out of date Contamin NAT Assurance NAT Ass		0	0	0	2	2	yourdiffee	rosardinee	rissiunce	, issuitance	7 Sourdinec	rissindirec	The issues raised are low level improvements only.
Director of Adult Social Care 0 0 0 0 0 0 0 Assurance Assurance Assurance NAT Assurance Contaminated Land - Director of Culture & City Development 0 1 0 0 1 Limited Assurance Assurance NAT Assurance NAT Assurance Limited Assurance NAT Assurance NAT Assurance The issue raised relates to an out of date Contamin Land Strategy. Limited Assurance NAT Assurance NAT Assurance The issue raised relates to an out of date Contamin Land Strategy. Limited Assurance NAT Assurance NAT Assurance The issues raised relate to the lack of a City Twinning - Director of Strategy, lack of procedural guidance for City select and the lack of clear objectives and budget allocation and the lack of clear objectives and budget allocatio							NAT	Assurance	Assurance	Assurance	NAT	Assurance	
Contaminated Land - Director of Culture & City Development 0 1 0 0 1 1		0	0	0	0	0							No issues were raised.
of Culture & City Development 0 1 0 0 1 Limited Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance The issues raised relate to the lack of a City Twinning - Director of Culture & City Development 0 3 0 0 3 Limited Assurance NAT Assurance Limited Limited Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance No issues were raised.							Assurance	Assurance	Assurance	Assurance	NAT	Assurance	
City Twinning - Director of Culture & City Development 0 3 0 0 3 Limited Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance The issues raised relate to the lack of a City Twinning Strategy, lack of procedural guidance for City select and the lack of clear objectives and budget allocative Limited Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT Assurance NAT No issues were raised.	of Culture & City	0	1	0	0	1	limited.					Limite d	The issue raised relates to an out of date Contaminated Land Strategy.
City Twinning - Director of Culture & City Development 0 3 0 0 3 Limited Assurance Langstone Harbour Board - External 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0									NAT		NAT		
Langstone Harbour Board - External 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		0	3	0	0	3	Limited		Limited	Limited		Limited	The issues raised relate to the lack of a City Twinning Strategy, lack of procedural guidance for City selection and the lack of clear objectives and budget allocation.
External 0 0 0 0 0 0 0	Langstone Harbour Board -		 	1	-		Assurance	NAI	Assurance	Assurance	NAI	Assurance	No issues were raised
L L NAT NAT NAT NAT NAT		0	0	0	0	0							No issues were raised.
TOTAL			1	1	1		NAT	NAT	NAT	NAT	NAT	NAT	